

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kent J. Sieffert et al.                      Art Unit : 2761  
Serial No. : 09/346,063                                      Examiner : Unknown  
Filed : July 1, 1999  
Title : WEB-BASED INFOMEDIARY FOR INTELLECTUAL PROPERTY  
TRANSFER

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231



RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed August 3, 1999 (a copy of which is enclosed), applicant as a small entity submits herewith the following:

- ☒ Payment of the basic filing fee of \$380;
- ☒ Payment of the additional/multiple dependent claims fees of \$273;
- ☒ Payment of the surcharge of \$65 for late filing of the basic filing fee and/or declaration;
- ☒ Petition for Two-Month Extension of Time (\$190);
- ☒ A check in the total amount of \$908;
- ☒ A Verified Statement Claiming Small Entity Status;
- ☒ A Combined Declaration and Power of Attorney of Kent J. Sieffert in compliance with 37 CFR §1.63; and
- ☒ A Combined Declaration and Power of Attorney of Steven W. Lundberg in compliance with 37 CFR §1.63

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

December 2, 1999

Date of Deposit

*Judith A. Wasilkus*

Signature

Judith A. Wasilkus

Typed or Printed Name of Person Signing Certificate

Applicant : Kent J. Sieffert et al.  
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Attorney's Docket No.: 10792-004001

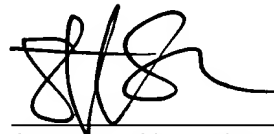
Applicants submit that the fees indicated in the Notice to File Missing Parts are plainly in error. The Notice indicates additional claim fees in the amount of \$780. With only seven independent claims in excess of three, however, the amount should have been \$546. With small entity status, this amount is halved to \$273.

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: \_\_\_\_\_

12/2/99



Steven J. Shumaker  
Reg. No. 36,275

SJS/jaw

Fish & Richardson P.C., P.A.  
60 South Sixth Street, Suite 3300  
Minneapolis, MN 55402  
Telephone: (612) 335-5070  
Facsimile: (612) 288-9696



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/346,063 07/01/99 SIEFFERT K 10792/004001

0242/0803

STEVEN J SHUMAKER  
FISH & RICHARDSON P C  
50 SOUTH SIXTH STREET  
SUITE 3300  
MINNEAPOLIS MN 55402

NOT ASSIGNED

2761

DATE MAILED:

08/03/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$1070.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$720.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ for total claims over 20.  
\$720.00 for 7 independent claims over 3.

\$ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Hopkins, D  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

12/28/1999 CVORACHA 00000010 09346063  
380.00 DP  
273.00 DP  
65.00 DP  
01:201  
02:202  
03:205  
U.S. PO: 1994-126-824

10/3/99  
2/3/2000  
jaw

Missing Parts Notice  
8/3/99  
10/3/99  
2/3/2000  
JE HICKSON  
nla